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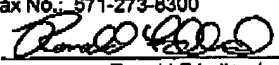
**FACSIMILE COVER SHEET**

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DATE: June 29, 2006  
TOTAL NO. PAGES: 5  
(including cover sheet)  
TO: Commissioner for Patents  
U.S. Patent and Trademark Office  
FACSIMILE NUMBER: (571) 273-8300  
FROM: Stephen B. Barone  
RE: USSN 10/660,382  
GWS Ref. No. 26-06

*If transmission is unclear, please telephone (303) 499-8080 immediately and ask for Ron.*

Submitted Herewith: Transmittal of Revocation of Power of Attorney and  
Appointment of New Attorney – 2 pages  
Revocation of Power of Attorney with New Power of Attorney  
and Change of Correspondence Address – 1 page  
Statement Under 37 CFR 3.73(b) – 1 page

CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being transmitted by facsimile to:	
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June 29, 2006 Date	 Ronald Friedland

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 29 2006

Application No. : 10/660,382 Confirmation No. : 6022  
Applicant: : Graetz et al.  
Filed: : September 10, 2003  
Group Art Unit : 1745  
Examiner: : Not Assigned  
For: : High-Capacity Nanostructured Silicon and Lithium Alloys Thereof  
Docket No. : 26-06  
Customer No. : 23713

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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June 29, 2006 Date	 Ronald Friedland

TRANSMITTAL OF  
REVOCATION OF POWER OF ATTORNEY  
AND APPOINTMENT OF NEW ATTORNEY

Sir:

Included with this transmittal is:

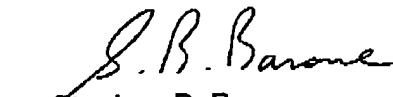
1. An executed Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address for the Assignee for this application.
2. Also included is an executed Statement Under 37 CFR 3.73(b).

Please direct all future correspondence relating to this application to the address associated with Customer Number 23713.

It is believed that the present submission does not require the payment of any fees. If this is incorrect however, please charge any fees required, including any extensions of time required, to Deposit Account No. 07-1969.

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Respectfully submitted,

  
Stephen B. Barone  
Reg. No. 53,968

Greenlee, Winner and Sullivan, P.C.  
4875 Pearl East Circle, Suite 200, Boulder, Colorado 80301  
Telephone: (303) 499-8080; Facsimile: (303) 499-8089  
email: winner@greenwin.com  
Attorney Docket No. 26-06  
raf: 6/29/06

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**REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY  
AND CHANGE OF CORRESPONDENCE ADDRESS**

I hereby revoke all previous powers of attorney given in the applications  
identified in the table below:

Application Number	Filing Date	First Named Inventor	Art Unit	Examiner Name	Atty. Docket No.
60/409,516	09/10/2002	Graetz			26-06P
10/660,382	09/10/2003	Graetz	1745		26-06
10/829,598	04/22/2004	Graetz	1745		27-06

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: **23713**

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with Customer Number: **23713**

OR

☐ Firm or Individual Name \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Country: \_\_\_\_\_ Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

I am the:

☐ Applicant/Inventor. ☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 27 CFR 3.73(b) is enclosed.

**SIGNATURE of Assignee of Record**

**CALIFORNIA INSTITUTE OF TECHNOLOGY**

By:   
Signature

Date

Address: 1200 E. California Blvd., MS 201-85  
Pasadena, CA 91125

ADAM COCHRAN  
Name

Intellectual Property Counsel  
Title

345-4567  
(626) 392-4568  
Telephone

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 Approved for use through 07/31/2006. OMB 0651-0031  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Graetz, et al.Application No./Patent No./Control No.: 10/660,382 Filed/Issue Date: Filed 09/10/2003Entitled: High-Capacity Nanostructured Silicon And Lithium Alloys ThereofCalifornia Institute of Technology, a not for profit corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014949, Frame 0526, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:


1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
 \_\_\_\_\_  
 Signature  
 ADAM COCHRAN  
 \_\_\_\_\_  
 Printed or Typed Name  
 Intellectual Property Counsel  
 \_\_\_\_\_  
 Title

May 12, 2006  
 \_\_\_\_\_  
 Date  
 (676) 395-4567  
 \_\_\_\_\_  
 Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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